# **Reporting formats**

# for Article 7 of the Convention on Cluster Munitions

to 31/12/2016

# Cover page<sup>1</sup> of the annual article 7 report

Reporting period: 01/01/2016

Name of State [Party]: New Zealand

(dd/mm/yyyy) (dd/mm/yyyy)

Form A: National implementation measures:	Form F: Contaminated areas and
☑ changed	clearance:
unchanged	□ changed
	□ unchanged (last reporting: yyyy)
	☑ non applicable
Form B: Stockpiles and destruction:	Form G: Warning to the population and
□ changed	risk education:
☐ unchanged (last reporting: yyyy)	changed
☑ non applicable	unchanged (last reporting: yyyy)
	☑ non applicable
Form C: Technical characteristics of each	Form H: Victim assistance:
type produced/owned or possessed:	□ changed
□ changed	☐ unchanged (last reporting: yyyy)
unchanged (last reporting: yyyy)	☑ non applicable
✓ non applicable	
Form D: Cluster munitions retained and	Form I: National resources and
transferred:	international cooperation and Assistance:
□ changed	☑ changed
unchanged (last reporting: yyyy)	☐ unchanged (last reporting: yyyy)
✓ non applicable	□ non applicable
Form E: Status of conversion programmes:	Form J: Other relevant matters:
□ changed	□ changed
☐ unchanged (last reporting: yyyy)	☑ unchanged (last reporting: 2012)
☑ non applicable	□ non applicable

<sup>1</sup> Notes on using the cover page:

<sup>1.</sup> The cover page could be used as a complement to submitting detailed forms adopted at the Meetings of the States parties in instances when the information to be provided in some of the forms in an annual report is the same as in past reports. That is, when using the cover page, only forms within which there is new information would need to be submitted.

<sup>2.</sup> The cover page could be used as a substitute for submitting detailed forms adopted at the Meetings of the States parties only if all of the information to be provided in an annual report is the same as in past reports.

<sup>3.</sup> If an indication is made on the cover sheet that the information to be provided with respect to a particular form would be unchanged in relationship to a previous year's form, the date of submission of the previous form should be clearly indicated.

<sup>4.</sup> The cover page may be used for subsequent annual reports and is not to be used for the initial Article 7 report submission.

# Form A National implementation measures

Article 7, paragraph 1

"Each State Party shall report to the Secretary-General ... on:

(a) The national implementation measures referred to in article 9;"

**Remark**: In accordance with article 9 "Each State Party shall take all appropriate legal, administrative and other measures to implement this Convention, including the imposition of penal sanctions, to prevent and suppress any activity prohibited to a State Party under this Convention undertaken by persons or on territory under its jurisdiction or control."

State Party: New Zealand.....

**Reporting for time period from** 1 Jan 2016...... to ...31 December 2016......

Legal, administrative and other measures taken to implement the CCM (including imposition of penal sanctions)

Supplementary information

(e.g., text and effective date of implementation measures, including legislation, administrative measures, policies and directives, training of military forces)

The Financial Markets Conduct Act enacted in 2013 governs how financial products are created, promoted and sold in New Zealand. Issuers of financial products and managed investment schemes which operate under the Act were required to transition to the new regime by 1 December 2016.

Under these new arrangements, issuers are now required to disclose a list of individual assets of each of their funds on a six monthly basis and include this information on a publicly available Disclose Register.

Enhanced provision of information to investors under the new disclosure regime helps ensure that investments made by funds will not be used for the development or production of cluster munitions, as required by Section 10 (2) of the Cluster Munition Prohibition Act 2009, which implements the Convention in New Zealand

## **Convention on Cluster Munitions**

#### Reporting formats for article 7 of the Convention on Cluster Munitions

State Party: New Zealand

National point(s) of contact (organization, telephones, fax, e-mail)\*:

International Security and Disarmament Division

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**Date of submission**: 28/04/17

Reporting time period from 01/01/16 to 31/12/16

<u>NOTE</u>: all data shaded in gray is provided on a VOLUNTARY basis but pertains to compliance and implementation not covered by the formal reporting requirements contained in article 7.

<sup>\*</sup> In accordance with art. 7, para. 1, subpara. (1).

# Form I National resources and international cooperation and assistance

#### Article 7, paragraph 1

"Each State Party shall report to the Secretary-General ... on:

- (m) The amount of national resources, including financial, material or in kind, allocated to the implementation of articles 3, 4 and 5 of this Convention; and
- (n) The amounts, types and destinations of international cooperation and assistance provided under article 6 of this Convention.

State Party: New Zealand

**Reporting for time period from** 01/01/16 to 31/12/16

Narrative: Not applicable

#### 1. National resources allocated

Activity	Sector (stockpile destruction, clearance, risk education, victim assistance, advocacy)	Amount of national resources (list currency)	Type of resources (e.g. financial, material, in kind)
Core contribution to UNMAS	Clearance, stockpile destruction	NZ\$1 million	Financial
Contribution in support of UNMAS Rapid Technical Response capacity.	Urgent technical support	NZ\$0.5 million	Financial
Core contribution to the ICRC	Rehabilitation/victim assistance	NZ\$2.5 million	Financial

### 2. International cooperation and assistance provided

	Sector		or assistance	Details (including date allocated, intermediary
	(stockpile destruction, clearance, risk education,	Amount	(financial, material or	destinations such as trust funds, project
Destination	victim assistance, <b>advocacy</b> )	(list currency)	in kind)	details, timeframes)

West Bank	Clearance	NZ\$3.1 million over three years 2014-16	Financial	New Zealand, along with the UK, the US and the Netherlands, provides funding to the HALO Trust for mine clearance in the West Bank and capacity building to the Palestinian Mine Action Centre (PMAC).
Syria	Victim assistance	NZ\$3.5 million in 2016 (\$1 million through ICRC, \$2.5 million through NGO partners)	Financial	The New Zealand Aid Programme has contributed NZ\$22.5 million to the Syrian regional crisis response to date. This has included \$3.5 million to the ICRC for operations in Syria and Lebanon including medical assistance to war-wounded people.
Lao PDR	Clearance	NZ\$10.35 million over four years 2016-2020	Financial	New Zealand is providing NZ\$10.35 million in funding to UXO Lao (through the UNDP Trust Fund) for unexploded ordnance clearance in Xieng Khouang Province over the four-year period July 2016-June 2020.

# Assistance provided by State Parties to another State for cluster munitions used/abandoned prior to entry into force, as outlined in article 4 paragraph 4

State Parties that have used our abandoned cluster munitions which have become cluster munition remnants located in areas under jurisdiction or under control of another State Party are STRONGLY ENCOURAGED to provide assistance to the latter State Party to facilitate the marking, clearance and destruction of such cluster munition remnants.